

01-14-02

PTO/SB/05 (11-00)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

TID-29699

First Inventor

Frank Vanselow

Title

Circuit Assembly for Generating a Phase-Locked Frequency  
Modulatable Carrier Frequency Signal

Express Mail Label No.

EL645458115US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

☐ Applicant claims small entity status  
See 37 CFR 1.27.

☒ Specification [ Total Pages **8** ]  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

☒ Drawing(s) (35 U.S.C. 113) [ Total Sheets **1** ]

☐ Oath or Declaration [ Total Pages ]

- a. ☐ Newly Executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)

☐ Application Data Sheet. See 37 CFR 1.76

☐ 7. CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

☐ 8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

☐ 9. Assignment Papers (cover sheet & documents(s))

☐ 10. 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

☐ 11. English Translation Document (if applicable)

☐ 12. Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

☒ 13. Preliminary Amendment

☒ 14. Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

☐ 15. Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

☐ 16. Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent

☐ 17. Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information

Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label

23494

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME **Texas Instruments Incorporated**

ADDRESS **Patent Activity**

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

(972)917-5452

FAX

(972) 917-4418

|                   |                    |                                   |        |
|-------------------|--------------------|-----------------------------------|--------|
| Name (Print/Type) | William B. Kempler | Registration No. (Attorney/Agent) | 28,228 |
| Signature         |                    | Date                              | 1/9/02 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

# FEE TRANSMITTAL for FY 2001

Complete If Known

Application Number **TBD**  
Filing Date **01/09/2002**  
First Named Inventor **Frank Vanselow**  
Examiner Name **TBD**  
Group Art Unit **TBD**  
Attorney Docket No. **TID-29699**

TOTAL AMOUNT OF PAYMENT (\$)**740.00**

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **20-0668**  
Deposit Account Name **Texas Instruments Incorporated**
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ **Payment Enclosed:**  
☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|----------|
| 101                   | 710                   | 201                   | 355                   | Utility filing fee     | \$740    |
| 106                   | 320                   | 206                   | 160                   | Design filing fee      |          |
| 107                   | 490                   | 207                   | 245                   | Plant filing fee       |          |
| 108                   | 710                   | 208                   | 355                   | Reissue filing fee     |          |
| 114                   | 150                   | 214                   | 75                    | Provisional filing fee |          |
| SUBTOTAL (1)          |                       |                       |                       |                        | (\$740)  |

### 2. EXTRA CLAIM FEES

| Extra Claims                      | Fee from below | Fee Paid |
|-----------------------------------|----------------|----------|
| Total Claims <b>2</b> -20**=      | <b>18</b>      |          |
| Independent Claims <b>1</b> -3**= | <b>84</b>      |          |
| Multiple Dependent                | <b>0</b>       |          |

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description   |
|-----------------------|-----------------------|-----------------------|-----------------------|---|
| 103                   | 18                    | 203                   | 9                     | Claims in excess of 20                                    |
| 102                   | 84                    | 202                   | 40                    | Independent claims in excess of 3                         |
| 104                   | 280                   | 204                   | 135                   | Multiple dependent claim, if not paid                     |
| 109                   | 84                    | 209                   | 40                    | **Reissue independent claims over original patent         |
| 110                   | 18                    | 210                   | 9                     | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissue, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|--|----------|
| 105                   | 130                   | 205                   | 65                    | Surcharge - late filing fee of oath  |          |
| 127                   | 50                    | 227                   | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                   | 130                   | 139                   | 130                   | Non-English specification  |          |
| 147                   | 2,520                 | 147                   | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                   | 920*                  | 112                   | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 113                   | 1,840*                | 113                   | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115                   | 110                   | 215                   | 55                    | Extension for reply within first month                                     |          |
| 116                   | 390                   | 216                   | 195                   | Extension for reply within second month                                    |          |
| 117                   | 890                   | 217                   | 445                   | Extension for reply within third month                                     |          |
| 118                   | 1,390                 | 218                   | 695                   | Extension for reply within fourth month                                    |          |
| 128                   | 1,890                 | 228                   | 945                   | Extension for reply within fifth month                                     |          |
| 119                   | 310                   | 219                   | 155                   | Notice of Appeal   |          |
| 120                   | 310                   | 220                   | 155                   | Filing a brief in support of an appeal                                     |          |
| 121                   | 270                   | 221                   | 135                   | Request for oral hearing   |          |
| 138                   | 1,510                 | 138                   | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140                   | 110                   | 240                   | 55                    | Petition to revive - unavoidable   |          |
| 144                   | 1,240                 | 241                   | 620                   | Petition to revive - unintentional   |          |
| 142                   | 1,240                 | 242                   | 620                   | Utility issue fee (or reissue)   |          |
| 143                   | 440                   | 243                   | 220                   | Design issue fee   |          |
| 144                   | 600                   | 244                   | 300                   | Plant issue fee  |          |
| 122                   | 130                   | 122                   | 130                   | Petitions to the Commissioner  |          |
| 123                   | 130                   | 123                   | 130                   | Petitions related to provisional applications                              |          |
| 126                   | 180                   | 126                   | 180                   | Submission of information Disclosure Stmt                                  |          |
| 581                   | 40                    | 581                   | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146                   | 710                   | 246                   | 355                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                   | 710                   | 249                   | 355                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                   | 710                   | 279                   | 355                   | Request for Continued Examination (RCE)                                    |          |
| 169                   | 900                   | 169                   | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)

**William B. Kempler**

Signature

*[Signature]*

Registration No. (Attorney/Agent)

**28,228**

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Date

**1/9/02**